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October 7, 2005

***VIA HAND DELIVERY***

Alessandro A. Iuppa, Superintendent  
Attn: Vanessa J. Leon  
Docket No. INS-05-700  
Maine Bureau of Insurance  
34 State House Station  
Gardiner, Maine 04333-0034

In Re: Review of Aggregate Measurable Cost Savings Determined By Dirigo Health  
For the First Assessment Year

Dear Superintendent Iuppa:

Enclosed for filing please find two hard copies of the following:

SUBMITTED BY: Christopher T. Roach and D. Michael Frink  
DATE: October 7, 2005  
DOCUMENT TITLE: Joint Request for Information to the Board of Directors of Dirigo Health  
DOCUMENT TYPE: Request for Information  
CONFIDENTIAL: No

Thank you for your assistance in this matter.

Very truly yours,



Christopher T. Roach

cc: John Kelly  
Thomas C. Sturtevant, Jr.

STATE OF MAINE  
DEPARTMENT OF PROFESSIONAL AND FINANCIAL REGULATION  
BUREAU OF INSURANCE

IN RE:	)	
	)	
REVIEW OF AGGREGATE	)	
MEASURABLE COST SAVINGS	)	<b>JOINT INFORMATION</b>
DETERMINED BY DIRIGO HEALTH	)	<b>REQUESTS OF ANTHEM</b>
FOR THE FIRST ASSESSMENT YEAR	)	<b>HEALTH PLANS OF MAINE,</b>
	)	<b>INC. AND MAHP TO THE</b>
	)	<b>BOARD OF DIRECTORS OF</b>
	)	<b>DIRIGO HEALTH</b>
	)	
	)	
Docket No. INS-05-700	)	

Pursuant to Maine Bureau of Insurance Rules chapter 350, section 10, and the Procedural Order dated September 19, 2005, Anthem Health Plans of Maine, Inc. d/b/a/ Anthem Blue Cross and Blue Shield (“Anthem BCBS”) and Maine Association of Health Plans (“MAHP”), by and through undersigned counsel, hereby submit this Request for Information to the Board of Directors of Dirigo Health.

**DEFINITIONS**

1. The “Act” means the Dirigo Health Act, 24-A M.R.S.A., Ch. 87.
2. “And” should be read as including “or,” and “ or” should be read as including “and” if doing so would make the applicable request more inclusive.
3. “Any” should be read as including “all,” and “ all” should be read as including “any” if doing so would make the applicable request more inclusive.
4. The “Board” means the Board of Directors of Dirigo Health, as established by 24-A M.R.S.A. § 6904, and shall include all seven (7) members thereof, whether voting members or ex officio members, individually or collectively, and shall also include any affiliates, officers,

agents, employees, accountants, attorneys, and all other persons who have acted or purported to act on its behalf.

5. “CMAD” means “costs per case mix adjusted discharge,” as referred to in P.L. 2003, ch. 469, § F-1(1)(B).

6. “Communications” means any and all conversations, discussions, correspondence, electronic transmissions, telephone calls, or other forms of exchanges, written, oral or otherwise.

7. The “Dirigo Group” means that part of the working group established by the Legislature to report to the Board, composed of the five members representing the interests of Dirigo Health.

8. “Dirigo Health” means Dirigo Health Agency, the independent executive agency established under 24-A M.R.S.A. § 6902, to arrange for the provision of comprehensive, affordable health care coverage to small employers and individuals.

9. “Document” shall include all original writings of any nature, and all non-identical copies thereof, in the possession, custody or control of the Board, regardless of where located, and includes without limitation, correspondence; memoranda; communications; reports; summaries; minutes; notes of any kind; drafts; versions; agenda; notices; announcements; instructions; telegrams; facsimiles; contracts; agreements; records; tape recordings; information on computers, disks, or magnetic, electronic or optic storage media; and any other documents within the meaning of Rule 34 of the Maine Rules of Civil Procedure. In all cases where original and/or non-identical copies are not available, “documents” also means identical copies of original documents and copies of non-identical copies.

10. “Identify,” “identifying,” or “identity,” when used with respect to a person or entity, means that you are to produce the following information for each and every person to be

identified: (a) full name, occupation, last known business address and telephone number, last known home address and telephone number, and last known employer and place of employment; and (b) with respect to an entity, the full name of the entity or organization, your contact person, and the address and telephone number of such entity.

11. "Identify," "identifying," or "identity," when used with respect to a document and/or writing, means that you are to produce: (a) the date(s) appearing on the document and/or the date it was prepared; (b) the name(s) of the author(s) or signatories, or individuals in whose name it was authored or issued; (c) the name(s) of the addressees, distributees, and/or recipient(s) of the document and, if natural persons, their employer(s); (d) a detailed summary of its contents, including the nature and substance of the writing with sufficient particularity to enable it to be identified; and (e) its physical location and the name and address of its custodian(s).

12. "Identify," "identifying," or "identity," when used with respect to an oral communication, means that you are to produce: (a) the date(s) when such communication took place, and/or the date(s) of any oral or written republication(s) of the communication; (b) the name(s) of all speakers, participants, listeners, audience members or individuals involved in the communication; (c) the location(s) where such communication took place, and/or the location(s) of any oral or written republication(s) of the communication; (d) a detailed summary of the contents of the oral communication, including the nature and substance of the communication and all other communications by all participants in the communication, with sufficient particularity to enable it to be recalled or identified; and (e) the identification of each writing, document or recording pertaining to such oral communications.

13. The “Lewin Group” shall mean the Lewin Group or any of its affiliates, officers, agents, employees, accountants, attorneys, and all other persons who have acted or purported to act on its behalf.

14. “Mercer” shall mean Mercer Government Human Services Consulting, or any of its affiliates, officers, agents, employees, accountants, attorneys, and all other persons who have acted or purported to act on its behalf.

15. “Methodology” shall mean the methodology for calculating the aggregate measurable cost savings, regardless of whose methodology it is.

16. The “Payor Group” means that part of the working group established by the Legislature to report to the Board, composed of the five members representing the interests of insurers, self-insured entities and third-party administrators.

17. “Person” includes, but is not limited to, boards, individuals, fiduciaries, partnerships, limited liability companies, corporations, and government entities.

18. The terms “pertain” or “pertaining to” shall be defined so as to include, in whole or in part, constituting, concerning, containing, embodying, reflecting, identifying, stating, regarding, referring to, relating to, evidencing, or in any way being relevant to the given subject matter stated herein.

19. “SOP” means the savings offset payment, as referred to in 24-A M.R.S.A. § 6913.

20. “You” and “your” shall refer to the Board, as defined above, the Office of Health, Policy and Finance, and the Department of Health and Human Services.